

Title:

The status of proton pump inhibitor use: a prescription survey of 45 hospitals in China

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ACCEPTED MANUSCRIPT

1 **OR 6155**

2 **The status of proton pump inhibitor use: a prescription survey of 45 hospitals in China**

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15

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17

18 **ABSTRACT**

19 **Background:** proton pump inhibitors (PPI) have been widely used in the clinic but
20 inappropriate prescribing has also increased dramatically.

21 **Objective:** to describe the prescribing patterns and assess the appropriateness of the p
22 rescribed PPI use in 45 hospitals in China.

23 **Materials and methods:** PPI prescriptions for non-hospitalized patients were collected
24 from hospitals in Beijing, Chengdu, Guangzhou and Hangzhou of China over a 40-day
25 period in 2016. These data were analyzed using the prescription number, proportion and
26 economic indicators (defined daily dose system [DDD], defined daily cost [DDC] and drug
27 utilization index [DUI]). The evaluation criteria of PPI use was based on *Martindale: The*
28 *Complete Drug Reference, New Materia Medica* and drug instructions.

29 **Results:** in total, 357,687 prescriptions using oral PPI and 38,216 prescriptions using
30 injectable PPI were assessed. The average age of PPI users was 53 years. The most
31 commonly used oral PPI was rabeprazole, while the most common injectable PPI was
32 pantoprazole. The DDD of oral rabeprazole and DDC of injectable rabeprazole were the
33 highest. Meanwhile, only the DUI values of oral rabeprazole, lansoprazole and ilaprazole
34 were less than 1.0. The clinical diagnosis of some users included well identified risky
35 comorbidities such as kidney disease (2.9%). Furthermore, between 32.6% and 56.8% of
36 the PPI prescriptions were used for inappropriate indications.

37 **Conclusion:** this survey demonstrated that PPI use was accompanied by unapproved
38 indications and excessive dosages. Comprehensive measures are urgently needed to
39 improve PPI use and reduce unnecessary drug costs.

40

41 **Key words:** Proton pump inhibitors. Prescription. Indications. Survey.

42

43 **INTRODUCTION**

44 Proton pump inhibitors (PPI) are essentially H⁺-K⁺-ATPase inhibitors. These are widely
45 prescribed to treat acid-related diseases such as gastritis, peptic ulcer diseases (PUD),
46 gastroesophageal reflux disease (GERD), gastrointestinal (GI) bleeding and *Helicobacter*
47 *pylori* (*H. pylori*) infection (1,2). Moreover, PPI can be co-prescribed as gastro-protective
48 drugs with non-steroidal anti-inflammatory drugs (NSAIDs), corticosteroids and
49 anticoagulants, especially in elderly patients (3). The currently marketed PPIs in China
50 include omeprazole, esomeprazole, lansoprazole, pantoprazole, rabeprazole and
51 ilaprazole. Inappropriate PPI use and the associated risks have dramatically increased over
52 the last few decades (2,4-6). Emerging post-marketing studies have demonstrated that
53 inappropriate PPI use has become a major clinical problem (2,7,8). Several case-control,
54 cohort and meta-analyses studies have reported that PPI use increased the risk of various
55 adverse effects including kidney disease (9-17). Thus, it is essential to determine the
56 prescribing patterns and assess the appropriateness of the prescribed PPI, so that an

57 effective and rational follow-up plan can be implemented.

58 In the present survey, the PPI prescriptions of non-hospitalized patients from 45 hospitals
59 in Beijing, Chengdu, Guangzhou and Hangzhou of China were collected. The data were
60 subsequently analyzed using the number of prescriptions, the percentage, defined daily
61 dose system (DDD), defined daily cost (DDC) and drug utilization index (DUI) (18). This
62 study aimed to describe the prescribing patterns of PPI, analyze the variability among
63 different PPI and evaluate the appropriateness of the prescribed PPI in hospitals in China.

64

65 **MATERIALS AND METHODS**

66 **Data collection, inclusion criteria and evaluation standard**

67 The present study was supervised by Professor Li Da-Kui. The following prescription
68 information was collected: city, time, hospital code, prescription number, clinical
69 department, drug generic name, drug specification, medication route, total amount of
70 medicine taken, unit price, daily dosage, single dose, gender, age and diagnosis. The data
71 was obtained from a project on prescription analysis that used the same criteria (19). The
72 main aim was to analyze the status and trends of PPI use in China. More than 100
73 hospitals from eight metropolitan areas participated in the project every year. These
74 hospitals provided data on prescriptions for each sample day to the research group. Forty
75 sampling days per year were collected that consisted of three or four sampling days every
76 month (19). This study was performed according to the guidelines of the World Medical
77 Association and the Declaration of Helsinki. The Ethics Committee of the Institutional
78 Review Board of our hospital approved the survey.

79 The 45 hospitals included in the study are three-A general hospitals from four
80 metropolitan areas (Beijing, Chengdu, Guangzhou and Hangzhou) in China. These cities are
81 located in the north, west, south, and east of China, respectively. Thus, the status of PPI
82 use represented a wide coverage of the country. PPI prescriptions for non-hospitalized
83 patients (outpatients and emergency patients) over a period of 40 days were collected in
84 2016. The PPI prescriptions without a diagnosis were excluded. The prescriptions were

85 divided into oral PPI prescriptions and injectable PPI prescriptions for the final analysis.
86 The defined daily dose (DDD) value for each form of PPI (oral or injectable dosage form)
87 was recommended by the World Health Organization or drug instructions. The DDD value
88 for PPI is equal to the ratio of the total doses of PPI (g) and the DDD value (g), the DDC
89 value is equal to the ratio of the total sales of PPI and the DDD value, whereas the DUI
90 value is equal to the ratio of the DDD value and the actual days of PPI use. As previously
91 reported (18), the larger the DDD the higher the frequency of PPI prescription. This
92 reflects the trends of PPI use, whereas the DDC value indicates the average daily cost of
93 PPI use. A DUI value of more than 1.0 indicates that the actual dosage is higher than DDD,
94 suggesting the use of an excess dose of PPI. The DUI value can be used as a standard to
95 determine whether the dose is reasonable or not. The evaluation criteria for the
96 indications of PPI use was based on *Martindale: The Complete Drug Reference* (35th
97 edition, England), *New Materia Medica* (17th edition, China) and drug instructions.

98

99 **Statistical analysis**

100 The prescription information was processed using the Microsoft Access software and then
101 exported to Microsoft Office Excel[®] 2007 (Microsoft Corp., Redmond, WA, USA) for
102 statistical analysis.

103

104 **RESULTS**

105 In total, 395,903 cases of PPI prescriptions were finally included in the survey. The
106 proportion of PPI prescriptions was 6.2% in Hangzhou but was lower in Chengdu (5.6%),
107 Guangzhou (4.5%) and Beijing (3.5%). Six kinds of PPI were used in these hospitals
108 including meprazole, esomeprazole, lansoprazole, pantoprazole, rabeprazole and
109 ilaprazole. The majority of the PPI prescriptions were via oral administration (90.4%) and
110 the remaining were via injection (9.6%); 50.1% of patients were female and the average
111 age of the PPI users was 53 years and the maximum age was 117 years. One percent of
112 the users were < 18 years old, 35.1% were > 60 years old and 39.1% were aged > 40 years

113 and \leq 60 years.

114

115 **Variability among different PPI**

116 With regard to PPI use in different cities, Guangzhou had the highest rate of PPI use
117 (29.1%), followed by Hangzhou (27.5%), whereas the rate was similar in Beijing and
118 Chengdu (Table 1). Among the oral dosage form of PPI (Table 1), rabeprazole was the
119 most widely used (29.1%), followed by pantoprazole, lansoprazole, esomeprazole and
120 omeprazole, whereas the lowest proportion was ilaprazole (1.4%). However, PPI use via
121 injection showed a different trend, with the highest use of pantoprazole (4.7%), followed
122 by omeprazole, lansoprazole, esomeprazole and rabeprazole (Table 1). There was no data
123 with regard to the use of ilaprazole via injection.

124

125 **Clinical diagnosis of PPI prescriptions**

126 The approved indications for PPI use included GERD (20.2%), PUD (10.3%), *H. pylori*
127 infection (5.9%) and gastrointestinal (GI) bleeds (1.5%) (Fig. 1). Among the PPI
128 prescriptions, rabeprazole was primarily used to treat GERD, PUD and *H. pylori* infection,
129 whereas pantoprazole was mainly used to treat GI bleeds. Moreover, the PPI prescriptions
130 with a gastritis-related diagnosis and dyspepsia accounted for 34.3% and 4.3% of cases,
131 respectively.

132

133 **Risky comorbidities of PPI users**

134 In recent years, the risks of PPI use have become a research hot topic. It has been
135 reported that PPI use may be associated with kidney injury, pneumonia, bone fracture,
136 dementia, vitamin B₁₂ deficiency, iron deficiency and hypomagnesemia (9-17). According
137 to our analysis, 2.9% of PPI users had kidney diseases, 2% had osteoporosis and 0.6% had
138 pneumonia. In addition, smaller proportions of PPI users had these diseases in association
139 with fractures, dementia, vitamin B₁₂ deficiency, iron deficiency and hypomagnesemia.

140

141 **PPI prescription in different clinical departments**

142 PPI were most commonly used in the Department of Gastroenterology (40.1%) and
143 Emergency Medicine (11.7%) (Fig. 2). A higher rate of PPI use was also found in other
144 departments including General Internal Medicine (6.6%), Cardiology (6.5%) and
145 Rheumatology (4.5%). These patients had more than one disease, including digestive tract
146 diseases, or the prescribed PPIs were used for ulcer prevention due to the concomitant
147 therapy with other gastro-erosive drugs.

148

149 **DDD, DDC and DUI values of different PPI**

150 We analyzed the DDD, DDC and DUI values of different PPI. Table 2 shows that the DDD
151 value of oral rabeprazole and the DDC value of injectable rabeprazole were the highest,
152 while the lowest DDD value was obtained for injectable rabeprazole and the minimum
153 value of DDC was for oral omeprazole. Among the DUI values of PPI, only oral rabeprazole,
154 lansoprazole and ilaprazole were less than 1.0.

155

156 **DISCUSSION**

157 PPI are among the most commonly prescribed drugs worldwide. Recent data have shown
158 that the proportion of PPI users has increased from 0.2% in 1990 to 15.0% in 2014, in the
159 UK (20). There is also evidence showing inappropriate PPI use, without approved
160 indications in general medical wards ranging from 40% to 81% (21). Moreover, there are
161 emerging potential adverse effects or risks induced by PPI abuse or in conjunction with
162 other drugs (4,22,23). The overuse of PPI not only leads to undesirable outcomes but
163 there is also a significant high cost (24). Thus, conducting a survey to assess the present
164 status of PPI prescription in China is urgent and meaningful.

165 In this study, the clinical trends of PPI use varied greatly. The oral form of rabeprazole,
166 pantoprazole and lansoprazole were the most commonly used PPI. Among the injectable
167 forms, pantoprazole and omeprazole were the most commonly used. The PPI were mostly
168 prescribed in the departments of Gastroenterology and Emergency Medicine, which may

169 be due to the main application of PPI in digestive system diseases, based on their
170 indications. However, high rates of PPI use were also found in the General Internal
171 Medicine, Cardiology and Rheumatology departments. The rise of gastro-erosive drugs
172 consumption (such as NSAIDs) and ageing of the patients in these departments could
173 partly explain the increased of PPI use.

174 Clinical diagnosis is the foundation for treating diseases and forms the basis of the
175 selection of appropriate medications to treat certain diseases, while irrational drug use
176 can also be screened. In our survey, the completely approved indications for PPI use
177 included GERD, PUD, *H. pylori* infection and GI bleeds. NSAIDs (6.0%) use was also
178 reported in the high-risk users. Moreover, the diagnosis of gastritis (34.3%) and dyspepsia
179 (4.3%) were a vague diagnostic description or symptom and were uncertain indications for
180 PPI use. Unfortunately, we could not obtain evidence from the endoscopy or biopsy
181 samples or other examinations to rule out unreasonable PPI use. Based on the above data,
182 between 32.6% and 56.8% of PPI prescriptions were considered as off-label medications.
183 These data were similar to those reported in other surveys (21,24).

184 The DDC results showed that the highest average daily costs of PPI were injectable
185 rabeprazole (mostly used in Guangzhou), followed by injectable esomeprazole (mostly
186 used in Beijing). Thus, indicating that these patients paid a high price when these drugs
187 were prescribed. The lowest DDC value was for oral omeprazole, suggesting that it has a
188 price advantage for clinical use. However, omeprazole was one of the least used oral PPIs
189 in this survey. There is little research evidence to prove any significant differences among
190 these PPI for the treatment of the related diseases. Hence, the above data suggests an
191 inappropriate drug selection when prescribing PPI. However, this situation may also be
192 related to the local health insurance reimbursement in different areas and therefore, the
193 relevant departments should probe this issue. The DUI data showed that injectable
194 rabeprazole, injectable lansoprazole and both forms of pantoprazole, esomeprazole, and
195 omeprazole were used in excessive doses in patients. This may be related to medical
196 advice errors that require effective intervention from the doctors, nurses or pharmacists.

197 Data on the risky comorbidities were also noteworthy. Our data showed that some PPI
198 users had underlying risky comorbidities such as renal diseases (2.9%) and osteoporosis
199 (2%) (25,26). PPI may trigger acute interstitial nephritis, which is a severe event associated
200 with acute kidney injury. Besides, PPI may reduce calcium absorption, interfere with bone
201 metabolism and increase the risk of osteoporosis. Thus, doctors should consider these
202 conditions when prescribing PPI in these special cases. The data of the duration of PPI
203 treatment could not be obtained as the data were a sample of prescriptions over a 40-day
204 period and we did not know whether PPI had been prescribed before or would be
205 prescribed later. This was also a limitation of the study. Furthermore, we could not obtain
206 the information on the pathological examinations, laboratory indicators or other
207 diagnostic details, in order to ensure the accuracy of the diagnosis of acid-related diseases
208 or gastrointestinal diseases. In addition, our data were obtained according to the
209 difference of prescription numbers, which could not be fully distinguished from patients.
210 Even though, the four selected areas in this survey were representative (19), the results
211 partly reflect the status of PPI use in China. The increase in PPI consumption and decrease
212 in indication might translate to a belief that the elderly and/or polymedicated patients
213 must be treated with PPI. This generalized overuse of PPI and the high rate of
214 inappropriate prescriptions demonstrates a lack of concern for optimizing PPI use. More
215 efforts are needed to ensure the choice of suitable PPI and promote the rational use. First,
216 it is important to regularly reassess the need for ongoing treatment with PPI and how the
217 risks can be reduced when prescribing PPI. Second, PPI over-use should be controlled by
218 limiting the appropriateness of reimbursement, over-prescription and improving the
219 distribution of therapeutic recommendation guidelines (27). Third, more studies should
220 define the appropriate indications of PPI use, investigate the exact causality between risk
221 and PPI use and also develop alternate management options for acid peptic diseases
222 (24,28). As an alternative, H₂-receptor antagonists may be used to suppress gastric acid
223 production. It is worth mentioning that reducing PPI use may come from the lifestyle
224 changes, including the avoidance of coffee, alcohol, spicy and fatty meals, smoking and a

225 supine position after eating. This is especially important for users with gastric acid-related
226 diseases or symptoms (29). Finally, we should also limit the therapy to the lowest effective
227 dosage and not discontinue PPI use when there is clear evidence for its requirement.

228

229 **CONCLUSION**

230 There was a significant increase in both PPI use and the rate of PPI inappropriate
231 prescriptions in our study, which has become a matter of public interest. The present
232 survey provides valuable data with regard to the variability of different PPI as well as the
233 inappropriate indications and the use of excessive doses. PPI use needs to be improved
234 and unnecessary drug spending reduced.

235

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243

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- 326

327 **Table 1. The prescription number and proportion of different PPI in different cities**

<i>Dosage form</i>	<i>Beijing</i>	<i>Chengdu</i>	<i>Guangzhou</i>	<i>Hangzhou</i>	<i>Sum.</i>
Oral rabeprazole	33,941 (8.6%)	23,873 (6.0%)	30,805 (7.8%)	26,718 (6.7%)	115,337 (29.1%)
Injectable rabeprazole	0 (0.0%)	0 (0.0%)	1,345 (0.3%)	5 (0.0%)	1,350 (0.3%)
Oral pantoprazole	12,507 (3.2%)	11,918 (3.0%)	20,808 (5.3%)	28,917 (7.3%)	74,150 (18.7%)
Injectable pantoprazole	4,765 (1.2%)	3,490 (0.9%)	918 (0.2%)	9,292 (2.3%)	18,465 (4.7%)
Oral lansoprazole	4,004 (1.0%)	28,535 (7.2%)	24,475 (6.2%)	10,214 (2.6%)	67,228 (17.0%)
Injectable lansoprazole	775 (0.2%)	599 (0.2%)	774 (0.2%)	1,082 (0.3%)	3,230 (0.8%)
Oral esomeprazole	14,339 (3.6%)	11,600 (2.9%)	16,950 (4.3%)	14,035 (3.5%)	56,924 (14.4%)
Injectable esomeprazole	1,919 (0.5%)	14 (0.0%)	860 (0.2%)	164 (0.0%)	2,957 (0.7%)
Oral omeprazole	9,609 (2.4%)	5,250 (1.3%)	9,468 (2.4%)	14,324 (3.6%)	38,651 (9.8%)
Injectable omeprazole	3,677 (0.9%)	229 (0.1%)	4,082 (1.0%)	4,226 (1.1%)	12,214 (3.1%)
Oral ilaprazole	0 (0.0%)	685 (0.2%)	4,712 (1.2%)	0 (0.0%)	5,397 (1.4%)
Sum.	85,536 (21.6%)	86,193 (21.8%)	115,197 (29.1%)	108,977 (27.5%)	395,903 (100.0%)

328

329 PPI: proton pump inhibitors.

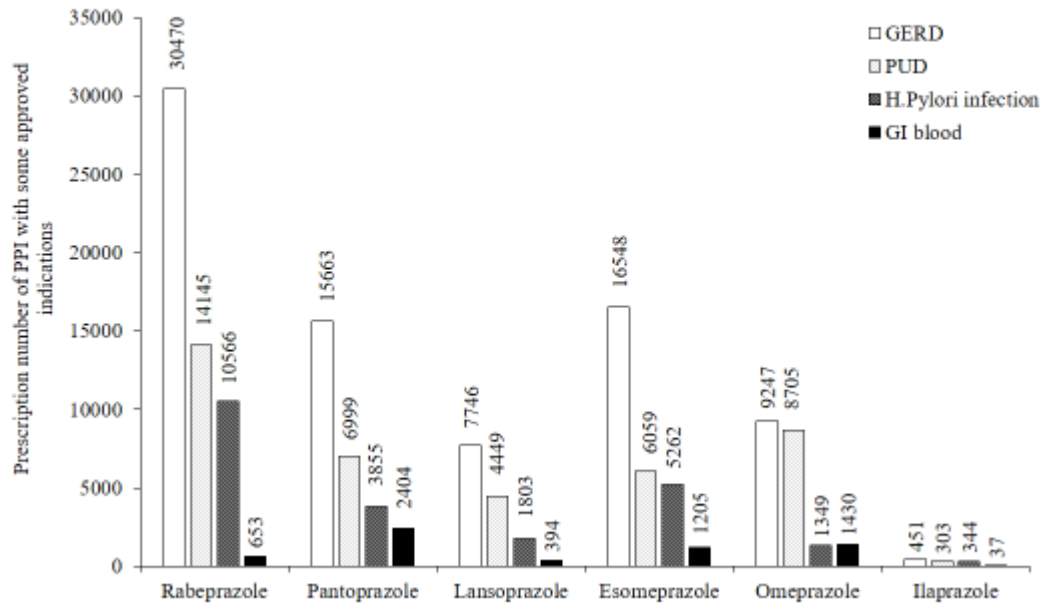
330 **Table 2. DDD, DDC and DUI values of different PPI**

<i>Dosage form of PPI</i>	<i>Total doses (g)</i>	<i>Medication days (d)</i>	<i>Total sales (dollar)</i>	<i>DDD (mg)</i>	<i>DDDs</i>	<i>DDC (dollar)</i>	<i>DUI</i>
Oral rabeprazole	29,902.2	1,463,298	17,426,769	20	1,495,111	11.7	1.0
Oral pantoprazole	49,008.4	961,078	9,124,989	40	1,225,209	7.4	1.3
Oral lansoprazole	31,717.7	1,069,420	7,126,307	30	1,057,258	6.7	1.0
Oral esomeprazole	23,737.8	720,459	12,521,192	30	791,259	15.8	1.1
Oral omeprazole	16,444.1	629,089	4,354,944	20	822,204	5.3	1.3
Oral ilaprazole	369.2	53,860	1,245,244	10	18,462	67.4	0.3
Injectable rabeprazole	30.4	1,347.5	254,160	20	1,521	167.1	1.1
Injectable pantoprazole	1,269.6	21,881	1,527,778	40	31,739	48.1	1.5
Injectable lansoprazole	144.9	3,535	335,550	30	4,829	69.5	1.4
Injectable esomeprazole	166.8	3,341	519,596	30	5,560	93.5	1.7
Injectable omeprazole	656.7	13,964	950,181	20	16,418	57.9	1.2

331

332 DDC: defined daily cost; DDDs: defined daily dose system; DUI: drug utilization index; PPI:

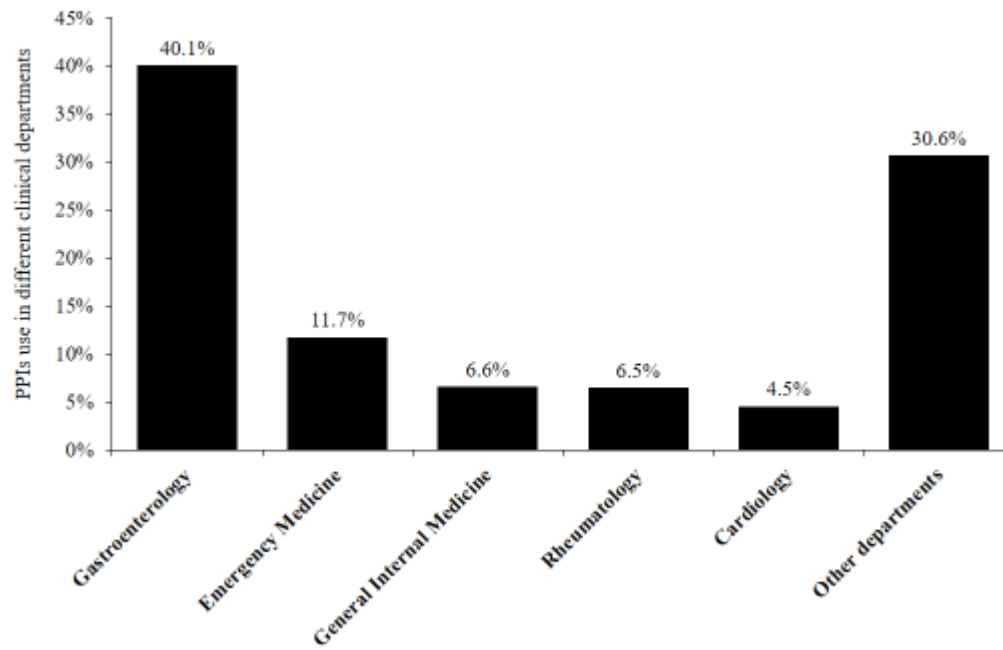
333 proton pump inhibitors.



334

335

336 Fig. 1. Prescription number of different PPI with some approved indications. GERD:
337 gastroesophageal reflux disease; GI: gastrointestinal bleeding; *H. pylori*: *Helicobacter*
338 *pylori*; PPI: proton pump inhibitors; PUD: peptic ulcer diseases.



339

340 Fig. 2. PPI use in different clinical departments. PPI: proton pump inhibitors.

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