

Title:

Elevated serum levels of interleukin-37 correlate with poor prognosis in gastric cancer

Authors:

Yan Zhang, Min Tang, Xiao-Ge Wang, Jin-Hua Gu, Li-Na Zhou, Jun Jin, Ping Li, Li-Qiang Wang, Min-Bin Chen

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1 **OR 6460**

2 **Elevated serum levels of interleukin-37 correlate with poor prognosis in gastric**
3 **cancer**

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5 Yan Zhang¹, Min Tang¹, Xiao-Ge Wang², Jin-Hua Gu², Li-Na Zhou¹, Jun Jin¹, Ping Li¹, Li-
6 Qiang Wang¹ and Min-Bin Chen¹

7

8 Department of ¹Oncology and ²Clinical Laboratory. Affiliated Kunshan Hospital of
9 Jiangsu University. Kunshan, Jiangsu. China

10

11 Yan Zhang and Min Tang contributed equally to this work.

12

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15 **Correspondence:** Min-Bin Chen. Department of Medical Oncology. Affiliated
16 Kunshan Hospital of Jiangsu University. 215300 Kunshan, Jiangsu. China
17 e-mail: cmb1981@163.com

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21

22 **ABSTRACT**

23 **Background:** interleukin-37 (IL-37) is as a natural suppressor of the innate
24 inflammatory and immune responses. It has also been reported to be involved in
25 carcinogenesis and metastasis. The present case-control study was designed to
26 investigate the role of serum levels of IL-37 in patients with gastric cancer.

27 **Methods:** serum IL-37 levels were determined using the enzyme-linked
28 immunosorbent assay in 180 patients diagnosed with gastric cancer and 100 healthy
29 controls. The association between IL-37 levels and clinical factors was assessed.
30 Univariate and multivariate analyses were performed to investigate the prognostic
31 significance of these parameters in gastric cancer.

32 **Results:** serum IL-37 levels in gastric cancer patients (5.606 ± 0.837 pg/ml) were
33 significantly higher than those in healthy controls (2.364 ± 0.210 pg/ml, $p < 0.001$).
34 High serum IL-37 levels were related to a poorly differentiated histologic type ($p =$
35 0.046) and advanced T stage ($p = 0.003$). The Kaplan-Meier survival analysis
36 indicated that the high-IL-37 group had a poorer overall survival and progression-
37 free survival (overall survival [OS]: 39.0 months vs 13.0 months, $p < 0.001$,
38 progression-free survival [PFS]: 25.0 months vs 10.0 months, $p < 0.001$). Multivariate
39 analyses showed serum IL-37 to be an independent prognostic factor for gastric
40 cancer patients (OS: hazard ratios [HR] = 1.842, 95% CI: 1.190-2.854, $p = 0.006$; PFS:
41 HR = 1.547, 95% CI: 1.014-2.359, $p = 0.043$).

42 **Conclusions:** in conclusion, serum IL-37 levels were associated with poor overall
43 survival and progression-free survival in gastric cancer patients. IL-37 may be a
44 potential predictor of prognosis in gastric cancer.

45

46 **Key words:** Gastric cancer. Serum IL-37. Prognosis. Inflammation. Immune.

47

48 INTRODUCTION

49 Gastric cancer (GC) is the most prevalent cancer in Eastern Asia, as well as the
50 second leading cause of cancer-related deaths worldwide (1). Despite great
51 improvements in diagnosis and treatment, the majority of GC patients suffer from
52 recurrences and metastasis and the survival of GC patients remains unsatisfactory
53 (2). Identifying more predictive markers for cancer progression and prognosis is
54 important to distinguish high-risk patients, help guide treatment strategies and
55 improve clinical outcome.

56 It is well-documented that interleukin-37 (IL-37) is the seventh interleukin factor of
57 interleukin 1 family (IL-1F7). It acts as a natural inhibitor of innate immunity and
58 suppresses systemic and local inflammatory response (3,4). Previous studies
59 demonstrated that IL-37 inhibited TLR-induced pro-inflammatory cytokines such as
60 IL-1 α , IL-1 β , IL-8 and TNF- α (5). *In vivo*, IL-37 was reported to reduce the production
61 of cytokines in several autoimmune and inflammatory diseases, such as obesity-
62 induced inflammation (6), psoriasis (7), Graves' disease (GD) (8) and ankylosing

63 spondylitis (AS) (9). Up-regulated expression of IL-37 in serum have also been
64 reported in several types of cancer (10). Huo et al. found that serum IL-37 levels in
65 patients with epithelial ovarian cancer (EOC) were significantly higher than that in
66 healthy controls and high serum IL-37 levels were associated with an unfavorable
67 prognosis of EOC patients (11). On the other hand, one study reported a reduced
68 expression of IL-37 in renal cell carcinoma (RCC) patients that negatively correlated
69 with tumor progression (12). However, there is no study that has investigated the
70 clinical significance of IL-37 in GC.

71 The aim of this retrospective study was to evaluate the association between serum
72 IL-37 and prognosis of GC patients.

73

74 **MATERIALS AND METHODS**

75 **Patients and blood sampling**

76 This retrospective study firstly selected 204 gastric adenocarcinoma cases diagnosed
77 between January 2011 and January 2014 in the Affiliated Kunshan Hospital of Jiangsu
78 University. After screening, 180 cases with available clinical records and follow-up
79 information were finally enrolled. The inclusion criteria were as follows: a) patients
80 with available serum samples obtained before the primary treatment and stored at -
81 80 °C; b) histologically confirmed GC by gastroscopy or surgery; c) not treated
82 previously; d) no second primary tumor; and e) no active concurrent infection.
83 Moreover, 100 healthy volunteers were also included in the study and blood samples
84 were collected. The controls were sex and age-matched with the cases in order to
85 address any potential bias. Written informed consent was obtained from all patients
86 and healthy subjects. The study was performed in agreement with the guidelines of
87 the Declaration of Helsinki.

88

89 **Data collection**

90 The detailed clinical characteristics for most of the patients, including age, gender,
91 surgery, chemotherapy, tumor location, clinical TNM stage, pathologic type and
92 survival time were obtained from clinical records. Given that some cases lacked
93 survival data, we also contacted their families by phone. The tumors were staged

94 according to the tumor-node-metastasis (TNM) staging system of the American Joint
95 Committee on Cancer (AJCC 8th ed., 2018). Overall survival (OS) was defined as the
96 time from diagnosis to the date of death or the last visit. Progression-free survival
97 (PFS) was calculated from the time of diagnosis to the time of progression, relapse,
98 death or the last follow-up. This observational study was reviewed by our
99 Institutional Review Board.

100

101 **Measurement of serum IL-37 levels**

102 IL-37 serum levels were determined using a commercially available enzyme-linked
103 immunosorbent assay (ELISA) kit (Human IL-37 ELISA kit; Mlbio, Shanghai, China,
104 detection range: 0.1-32 ng/ml), according to the manufacturer's instructions. Briefly,
105 standards at different concentrations, a blank control and blood samples were
106 added to 96-well plates that were pre-coated with biotinylated antibodies specific
107 for IL-37. The 96-well plates were washed after incubation at 37 °C for one hour. A
108 substrate solution was added to the wells and color development was finally
109 terminated using a Stop Solution. Enzymatic reactions were developed and the
110 absorbance was measured at 450 nm (A450) using a microplate photometer
111 (Multiskan™ FC; Thermo Scientific, Yokohama, Japan) within 15 minutes after
112 stopping color development. IL-37 levels were calculated according to standard
113 curves and all samples were assayed in triplicate. The results average was calculated
114 for each sample.

115

116 **Statistical analysis**

117 Statistical analyses were performed using the SPSS 16.0 software (SPSS, Chicago, IL,
118 USA). Serum IL-37 concentration was expressed as the median (min, max).
119 Comparisons between various clinicopathologic factors and IL-37 concentration were
120 analyzed using the Mann-Whitney U test or the Kruskal-Wallis test. A receiver
121 operating characteristic (ROC) curve was constructed to estimate the optimal cutoff
122 value of serum IL-37. Survival curves were constructed according to the Kaplan-
123 Meier method and comparisons between survival curves were performed using the
124 log-rank test. Furthermore, multivariate analyses were performed to identify

125 significant independent prognostic factors. Hazard ratios (HR) and 95% confidence
126 intervals (CI) were generated. Statistical significance was defined as p values (two
127 sides) < 0.05.

128

129 **RESULTS**

130 **Clinicopathologic characteristics of the patients**

131 One hundred and eighty patients were included in the further analyses. The
132 clinical/pathological characteristics of the 180 patients (120 males and 60 females
133 with a median age 65 years) are presented in table 1. In total, 55 (30.56%) patients
134 had gastric cardia adenocarcinoma and 47 (26.11%) were diagnosed with **gastric**
135 **antrum cancer**. One hundred and thirty-three (73.89%) patients underwent a
136 surgical resection of the tumor and 149 (82.78%) patients received at least one cycle
137 of chemotherapy. More than half (66.11%) were classified as poorly differentiated
138 GC. The stages of III-IV accounted for 71.11% of the patients.

139

140 **Association of serum IL-37 with clinicopathological variables**

141 The mean concentration of serum IL-37 for all patients was 5.606 ± 0.837 pg/ml with
142 a median of 3.960 pg/ml (range 0.960-31.265 pg/ml). Concurrently, we also detected
143 serum IL-37 level for 100 sex and age-matched healthy volunteers. The mean
144 concentration was 2.364 ± 0.210 pg/ml with a median of 2.457 pg/ml (range 0.237-
145 6.187 pg/ml), which was significantly lower than that of GC patients ($p < 0.001$) (Fig.
146 1). According to the results of the ROC analysis, the optimal cutoff concentration of
147 serum IL-37 was 4.72 pg/ml with an area under the curve (AUC) of 0.617 (sensitivity:
148 38.7%; specificity: 85.1%, $p < 0.001$) (Fig. 2). Serum IL-37 levels were elevated in 52
149 (28.89%) patients and these individuals were categorized in the high-IL-37 group.
150 The remaining (71.11%) were classified as the low-IL-37 group. The clinicopathologic
151 variables of the patients were compared between the low and high-IL-37 groups. As
152 shown in table 1, the serum IL-37 level was significantly higher in patients with a
153 poorly differentiated histologic type ($p = 0.046$) and advanced T stage ($p = 0.003$).

154

155 **Prognostic factors for OS and PFS**

156 In this study, the median OS and PFS for all patients were 28.5 months and 19.5
157 months, respectively. Furthermore, the low-IL-37 group had a significantly better
158 outcome compared with those in the high-IL-37 group (OS: 39.0 months vs 13.0
159 months, $p < 0.001$, PFS: 25.0 months vs 10.0 months, $p < 0.001$), which was shown in
160 figure 3A and B.

161 Tables 2 and 3 show the prognostic effect of clinicopathologic variables. Univariate
162 Cox regression analysis showed that patients who did not undergo surgery ($p <$
163 0.001), a poorly differentiated histologic type ($p < 0.001$), advanced T ($p < 0.001$), N
164 ($p < 0.001$) and clinical stage ($p < 0.001$) and higher IL-37 levels ($p < 0.001$ for OS and
165 $p = 0.021$ for PFS) were negative prognostic factors associated with OS and PFS. After
166 multivariate analysis with these selected parameters using the Cox regression model,
167 only IL-37 level was identified as an independent prognostic factor associated with
168 OS (HR = 1.842, 95% CI: 1.190-2.854, $p = 0.006$) and PFS (HR = 1.547, 95% CI: 1.014-
169 2.359, $p = 0.043$).

170

171 **DISCUSSION**

172 The major findings of this study were that serum level of IL-37 was higher in patients
173 with GC than healthy controls and elevated baseline IL-37 was associated with a
174 poor outcome. IL-37 level was identified as an independent prognostic factor for OS
175 and PFS. These results confirmed the important role of IL-37 in disease development
176 and progression of GC.

177 IL-37 was previously considered as an inhibitor of innate inflammatory and immunity
178 (4,13) and is commonly associated with disease activities (8,14,15). In addition, the
179 effects of IL-37 in cancer have the attention of clinicians and scientist but the results
180 are still controversial. Zhao et al. showed that patients with high expression levels of
181 IL-37 in HCC tumor tissue had a better overall survival and disease-free survival.
182 Furthermore, low expression of IL-37 in tumor tissue was an independent risk factor
183 for poor prognosis (16). Other studies in non-small cell lung cancer and (NSCLC) and
184 RCC also supported the antitumor effect of IL-37 (12,17). However, Huo et al.
185 reported that high serum IL-37 levels are associated with an unfavorable prognosis
186 of EOC patients (11). We believe that the pathogenesis of different tumors varies

187 and IL-37 may be a double-edged sword that plays different roles in different cancer
188 types.

189 The exact role of IL-37 in GC is still unknown. The present study measured serum IL-
190 37 levels in healthy volunteers and GC patients and found that serum IL-37 was low
191 in the healthy controls. Furthermore, IL-37 levels were significantly differentiated
192 between patients with poorly differentiated adenocarcinoma and well-differentiated
193 types. Kaplan-Meier survival analysis showed that high serum IL-37 levels were
194 correlated with an unfavorable OS and PFS in GC. Taken together, our results suggest
195 that IL-37, as a new anti-inflammatory cytokine, may play a suppressive role in the
196 antitumor immune response. A number of studies have explored the precise
197 mechanism of how IL-37 negatively regulates antitumor activity. Xu et al. showed *in*
198 *vitro* that IL-37 expression in human CD4+CD25+Treg can promote the suppressive
199 effect on T lymphocyte activation (18). In addition, Wu et al. previously reported that
200 IL-37 could suppress T cell priming by modulating dendritic cell maturation and
201 cytokine production via down-regulating ERK/NF- κ B/S6K signaling (19). It is generally
202 accepted that Treg cells can significantly suppress T cell responses and the cytotoxic
203 lymphocyte effect, which weaken antitumor responses (20). Considering these
204 findings, IL-37 may play a key role in dampening antitumor immune responses,
205 which in turn promote tumor growth. However, further studies are needed to
206 elucidate the cellular mechanisms of IL-37-mediated signaling in GC.

207 The present study did have some potential limitations. First, it is a retrospective
208 study performed in a single institution and the sample size is relatively small. Second,
209 some potential co-factors related to GC development and systematic inflammation
210 such as the infection status of *Helicobacter pylori* (HP) and the EB virus as well as the
211 level of CEA and C-reactive protein have not been considered in all analyses due to
212 missing data. The next step would be to collect more data that may directly affect
213 gastric cancer development and explore the association between these factors and
214 IL-37. More evidence should be collected to support our results.

215

216 **CONCLUSION**

217 In conclusion, our data demonstrated for the first time that serum IL-37 level was

218 associated with poor OS and PFS in GC patients. IL-37 was identified as a potential
219 prognostic indicator for GC. These results suggest a role for IL-37 in the pathogenesis
220 of GC and offer new insights into potential therapeutic strategies.

221

222

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280 **Table 1. Correlation of serum IL-37 level with clinical characteristics in gastric**
 281 **cancer patients**

Characteristics	All n = 180 (%)	Median concentration of IL-37, pg/ml (range)	p value	Low IL-37 group (< 4.720 pg/ml) n (%)	High IL-37 group (≥ 4.720 pg/ml) n (%)	p value
Age (years)			0.629			0.672
< 65	89 (49.44)	4.125 (0.960-29.061)		62 (48.44)	27 (51.92)	
≥ 65	91 (50.56)	3.672 (1.032-31.265)		66 (51.56)	25 (48.08)	
Sex			0.224			0.048
Male	120 (66.67)	3.784 (0.960-31.265)		91 (71.09)	29 (55.77)	
Female	60 (33.33)	4.252 (1.032-31.179)		37 (28.91)	23 (44.23)	
Tumor location			0.150			0.458
Cardia	55 (30.56)	4.223 (1.403-28.582)		36 (28.125)	19 (36.54)	
Gastric body	78 (43.33)	3.756 (0.960-31.265)		56 (43.75)	22 (42.31)	
Antrum	47 (26.11)	3.519 (1.032-28.814)		36 (28.125)	11 (21.15)	
Surgery			0.974			0.594
No	47 (26.11)	3.968 (0.960-25.748)		32 (25.0)	15 (28.85)	
Yes	133 (73.89)	3.950 (1.032-31.265)		96 (75.0)	37 (71.15)	
Chemotherapy			0.409			0.394
No	31 (17.22)	3.925 (1.863-13.539)		24 (18.75)	7 (13.46)	
Yes	149 (82.78)	3.968 (0.960-31.265)		104 (81.25)	45 (86.54)	
Histologic type			0.046			0.031
Poorly differentiated	119 (66.11)	4.223 (1.032-31.265)		79 (61.72)	40 (76.92)	
Moderately differentiated	57 (31.67)	3.756 (2.202-29.061)		45 (35.15)	12 (23.08)	
Well differentiated	4 (2.22)	4.149 (0.960-4.709)		4 (3.13)	0 (0)	
Depth of invasion			0.003			0.001
T1-T2	29 (16.11)	3.756 (0.960-12.519)		25 (19.53)	4 (7.69)	
T3-T4	151 (83.89)	4.525 (1.032-31.265)		103 (80.47)	48 (92.31)	

Node status	0.986		0.515	
N0-N1	76 (42.22)	4.008 (0.960-28.582)	56 (43.75)	20 (38.46)
N2-N3	104 (57.78)	3.845 (1.032-31.265)	72 (56.25)	32 (61.54)
Stage	0.850		0.711	
I-II	52 (28.89)	4.132 (1.436-28.582)	38 (29.69)	14 (26.92)
III-IV	128 (71.11)	3.896 (0.960-31.265)	90 (70.31)	38 (73.08)

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283 **Table 2. Univariate and multivariate analyses of factors for the prediction of OS**

284

Characteristics	Univariate analysis		Multivariate analysis	
	HR (95% CI)	p value	HR (95% CI)	p value
Age (years)				
< 65	1.000		1.000	
≥ 65	1.197 (0.831-1.724)	0.334	1.108 (0.675-1.537)	0.932
Sex				
Male	1.000		1.000	
Female	1.207 (0.823-1.771)	0.336	1.109 (0.729-1.688)	0.628
Tumor location				
Cardia	1.000		1.000	
Gastric body	0.911 (0.593-1.399)	0.670	0.834 (0.500-1.134)	0.451
Antrum	1.000 (0.620-1.611)	0.998	1.129 (0.519-1.338)	0.462
Surgery				
Yes	1.000		1.000	
No	2.373 (1.614-3.490)	< 0.001	1.476 (0.929-2.345)	0.099
Chemotherapy				
Yes	1.000		1.000	
No	0.985 (0.602-1.612)	0.953	1.246 (0.730-2.125)	0.421
Histologic type				
Poorly differentiated	1.324 (0.887-1.977)	0.170	1.113 (0.691-1.792)	0.661
Moderately differentiated	1.000		1.000	
Well differentiated	0.366 (0.050-2.674)	0.322	0.998 (0.113-8.797)	0.999
Depth of invasion				
T1/T2	1.000		1.000	
T3/T4	4.277 (2.072-8.831)	< 0.001	2.199 (0.893-5.419)	0.087
Node status				
N0/N1	1.000		1.000	
N2/N3	3.019 (1.996-4.566)	< 0.001	1.397 (0.798-2.445)	0.241
Stage				

I-II	1.000		1.000	
III/IV	3.961 (2.352-6.671)	< 0.001	1.995 (0.925-4.306)	0.078
IL-37				
Low IL-37	1.000		1.000	
High IL-37	1.759 (1.192-2.596)	0.004	1.842 (1.190-2.854)	0.006

285

286 CI: confidence interval; HR: Hazard ratios; OS: overall survival.

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287 **Table 3. Univariate and multivariate analyses of factors for the prediction of PFS**

288

Characteristics	Univariate analysis		Multivariate analysis	
	HR (95% CI)	p value	HR (95% CI)	p value
Age (years)				
< 65	1.000		1.000	
≥ 65	1.146 (0.804-1.633)	0.450	0.957 (0.637-1.438)	0.832
Sex				
Male	1.000		1.000	
Female	1.155 (0.795-1.677)	0.449	1.080 (0.719-1.622)	0.711
Tumor location				
Cardia	1.000		1.000	
Gastric body	0.916 (0.604-1.390)	0.681	0.861 (0.543-1.364)	0.523
Antrum	1.077 (0.677-1.712)	0.754	1.197 (0.724-1.981)	0.483
Surgery				
Yes	1.000		1.000	
No	2.085 (1.430-3.041)	< 0.001	1.373 (0.882-2.137)	0.161
Chemotherapy				
Yes	1.000		1.000	
No	0.840 (0.515-1.371)	0.486	1.006 (0.596-1.699)	0.982
Histologic type				
Poorly differentiated	1.247 (0.848-1.836)	0.262	1.030 (0.643-1.650)	0.902
Moderately differentiated	1.000		1.000	
Well differentiated	0.311 (0.043-2.272)	0.250	0.780 (0.091-6.686)	0.820
Depth of invasion				
T1/T2	1.000		1.000	
T3/T4	3.623 (1.891-6.939)	< 0.001	2.041 (0.912-4.568)	0.082
Node status				
N0/N1	1.000		1.000	
N2/N3	2.717 (1.838-4.016)	< 0.001	1.526 (0.882-2.639)	0.131
Stage				

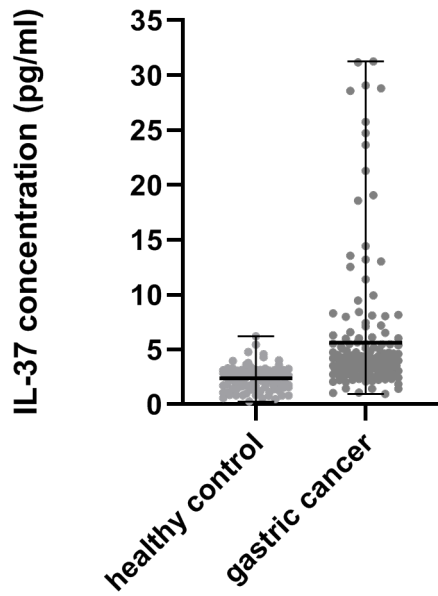
I-II	1.000		1.000	
III-IV	3.099 (1.945-4.937)	< 0.001	1.517 (0.739-3.113)	0.256
IL-37				
Low IL-37	1.000		1.000	
High IL-37	1.567 (1.071-2.293)	0.021	1.547 (1.014-2.359)	0.043

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290 CI: confidence interval; HR: hazard ratios; PFS: progression-free survival.

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294 Fig. 1. Serum IL-37 levels in patients with gastric cancer (n = 180) and healthy
295 controls (n = 100) ($p < 0.001$).

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298 Fig. 2. The ROC curve analysis for the optimal cutoff point of serum IL-37. The cutoff

299 value was 4.72. Sensitivity: 38.7%; specificity: 85.1%; AUC = 0.617; $p < 0.001$.

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303 Fig. 3. A. Kaplan-Meier survival curves of overall survival according to serum IL-37

304 level. B. Kaplan-Meier survival curves of progression-free survival according to serum

305 IL-37 level.

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